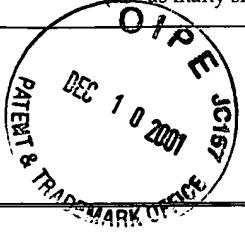




Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 1 of 3		Attorney Docket No.: 003801.P044	Application Number: 09/881,911
		First Named Inventor: Taylor, et al.	Jeffrey Taylor, et al.
		Filing Date: 6-15-01	June 15, 2001

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		5,485,510		Colbert	01-16-1996	
		5,557,728		Garrett et al.	09-17-1996	
		5,596,994		Bro	01-28-1997	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
AB		WIPO	WO 95/17711	A1	Diacom Technologies, Inc.	06-29-1995		
		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
		WIPO	PCT/US 00/17136	Int'l. Search Report	eBay, Inc.	11-16-2000		
		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

Examiner Signature	<i>AB</i>	Date Considered 9-30-03	
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		Number	Kind Code <sup>2</sup> (If known)			
AB		5,799,285		Klingman	08-25-1998	
		5,803,500		Mossberg	09-08-1998	
		5,845,265		Woolston	12-01-1998	
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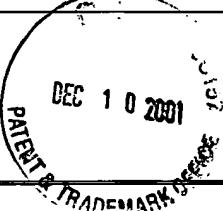
OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 3 of 3		Attorney Docket No.: 003801.P044	Application Number: 09/881,911
		First Named Inventor: Jeffrey Taylor, et al.	
		Filing Date: June 15, 2001	

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		Number	Kind Code <sup>2</sup> (If known)		
		6,104,815		Alcorn et al.	08-15-2000

OTHER ART – NO PATENT LITERATURE DOCUMENTS					
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		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., <a href="http://www.businesswire.com">www.businesswire.com</a> .			
		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.			
		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 <sup>st</sup> International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.			
		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.			
Examiner Signature				Date Considered	

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)				Complete if Known	
				Application Number	Unassigned 09/581,911
				Filing Date	Herewith
				First Named Inventor	Jeffrey Taylor, et al.
				Group Art Unit	Unassigned 3624
				Examiner Name	Unassigned
Sheet	1	of	7	Attorney Docket Number	003801.P044

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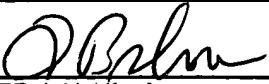
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
QB	3,581,072		Nymayer	5/25/1971	3/28/1968
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	Office or Country	Number	Date			
QB	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

## OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
QB	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
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	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
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Examiner Signature		Date Considered	9-30-02
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				Application Number		09/881,911	
				Filing Date			
				First Named Inventor			
				Group Art Unit		3624	
				Examiner Name			
Sheet	2	of	7	Attorney Docket Number	003801.P		

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			MM-DD-YYYY	
AB	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
	5,243,515	Lee	9/7/1993	10/30/1990
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	Office or Country	Number	Date			
AB	Netherland	9300266	2.10.1993			No
	France	2658635-A1	2.6.1991			No
	Canada	2,253,543	3/19/1997			Yes

**OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
AB	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4; Pages 10-16	
	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, 7 pages, Vol. 10, No. 3	
	HESS, CM; KEMERER, CF., "Computerized Loan Organization Systems: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-275	

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				Application Number	09/881,911
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				Group Art Unit	3624
				Examiner Name	
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	5,689,652		Lupien, et al.	11/18/1997	4/27/1995
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	5,717,989		Tozzoli, et al.	2/10/1998	10/13/1994
	5,727,165		Ordish, et al.	3/10/1998	12/27/1994
	5,794,219		Brown	8/11/1998	2/20/1996
	5,818,914		Fujisaki	10/6/1998	3/27/1995
	5,826,244		Huberman	10/20/1998	8/23/1995

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QB	RECK, Martin, "Formally Specifying an Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc., New York, NY	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
	LEE, HG, "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

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		Application Number	09/881,911
		Filing Date	
		First Named Inventor	
		Group Art Unit	3624
Examiner Name			
Sheet	4	of	7
		Attorney Docket Number	003801.P

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	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
	'Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, 3 pages	

Examiner  
Signature

John B. Bok

Date  
Considered

9-30-03

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				<b>Group Art Unit</b>	3624
				<b>Examiner Name</b>	
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AB	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10, 36 pages	
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				<b>Filing Date</b>	
				<b>First Named Inventor</b>	
				<b>Group Art Unit</b>	3624
				<b>Examiner Name</b>	
Sheet	6	of	7	Attorney Docket Number	003801.P

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	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, 6 pages	
	LEE, HG, "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, 5 pages	
	KLEIN, S, "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, 4 pages	
	TURBAN, E, "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, 5 pages	

Examiner Signature		Date Considered	
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				<b>Application Number</b>	09/881,911
				<b>Filing Date</b>	
				<b>First Named Inventor</b>	
				<b>Group Art Unit</b>	3624
				<b>Examiner Name</b>	
Sheet	7	of	7	Attorney Docket Number	003801.P

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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## OTHER DOCUMENTS

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QB	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	
	ePier.com Guidelines, <a href="http://www.epier.com/Guidelines.asp">http://www.epier.com/Guidelines.asp</a> , Auctions, (9 pages, 3/9/01)	
	Yahoo, <a href="http://user.auctions.yahoo.com">http://user.auctions.yahoo.com</a> , Options (2 pages, 3/13/01)	

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